

# Electronic and optical properties of nanostructures and biomolecules from first-principles

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"Roma La Sapienza"  
June 13, 2012

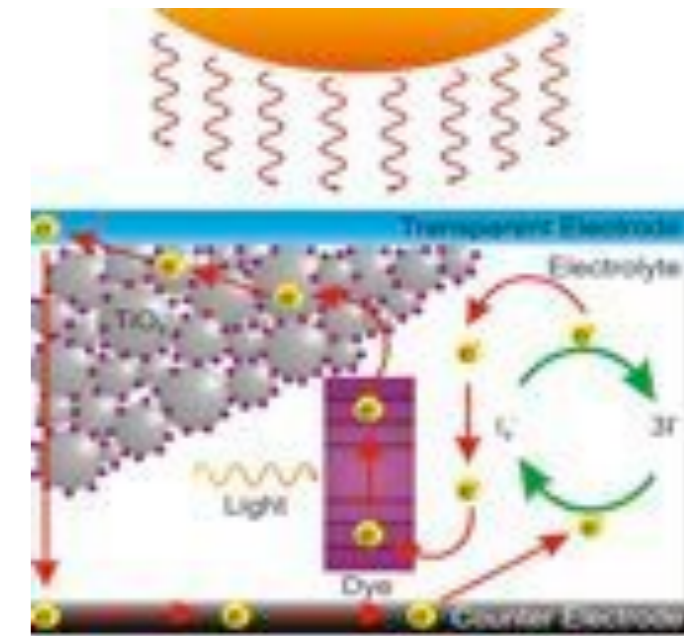
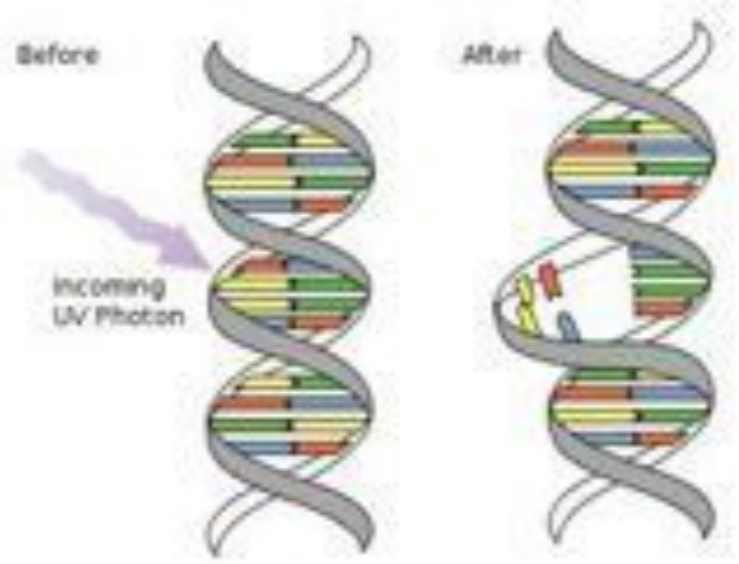
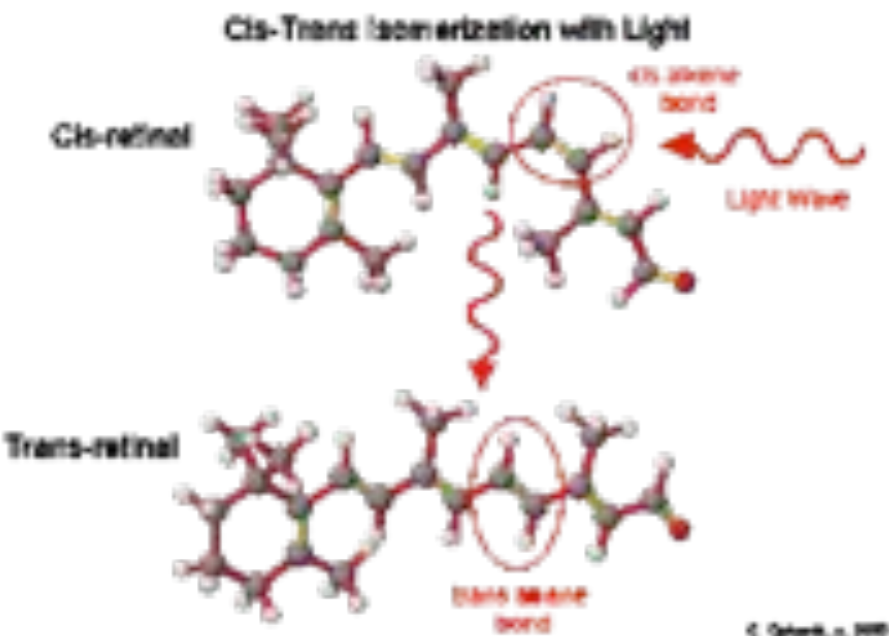


**SAPIENZA**  
UNIVERSITÀ DI ROMA

# Overview

Theoretical understanding of electronic properties and light-matter interactions from first principle

Fundamental processes occurring in biosystems and new technological devices



occurs at nanoscale

Quantum mechanical description is needed

$$i\hbar \frac{\partial \Psi(\mathbf{r}, t)}{\partial t} = H \Psi(\mathbf{r}, t)$$

$\Psi(\mathbf{r}, t)$  very complicate object

# Theoretical approach

## Density Functional Theory (DFT) (W. Kohn Nobel Prize 1998)

Density instead of wave function as fundamental variable.

Correlation effects approximated by effective potentials

Ground state properties: Electronic structure, reliable geometries

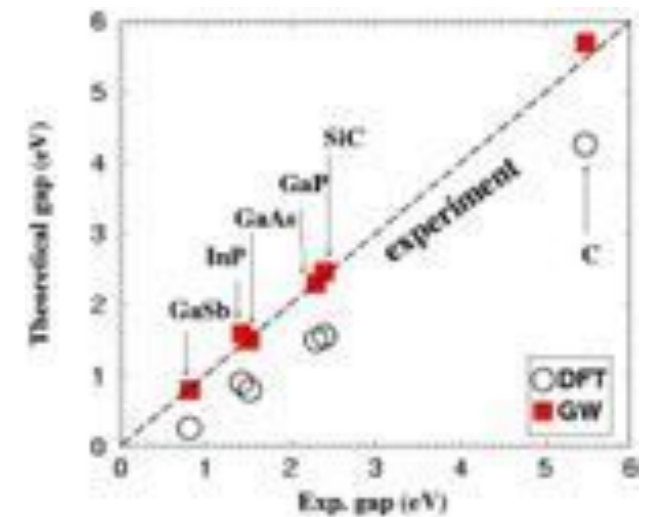
## Time Dependent Density Functional Theory (TDDFT) (Runge, Gross 1984)

Extension of DFT for time-varying external potential

Excited state properties: Absorption spectrum

**Very good compromise between computational efficiency and accuracy.**

Approximations of correlation effects can be critical



## Many Body Perturbation Theory (Hedin 1965)

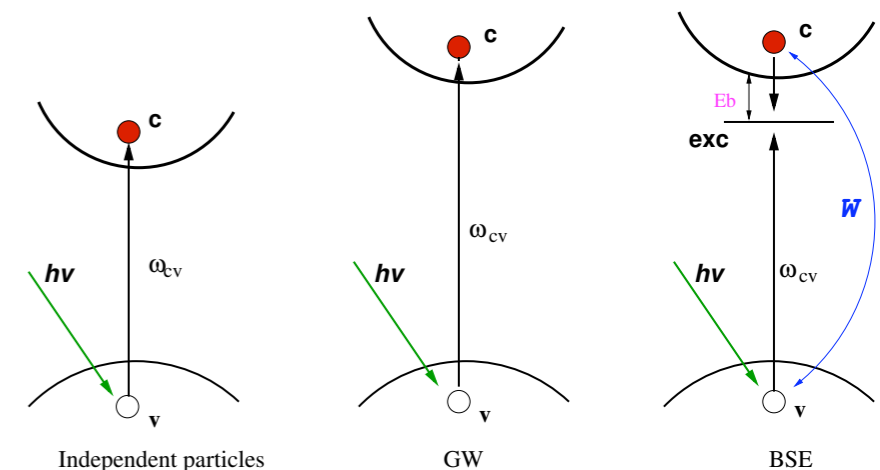
Perturbation theory around non interacting Hamiltonian

Green function as a fundamental variable

**Quasi-particle energies (GW)**

**Absorption spectra:** inclusion of **electrons-holes** interaction (BSE)

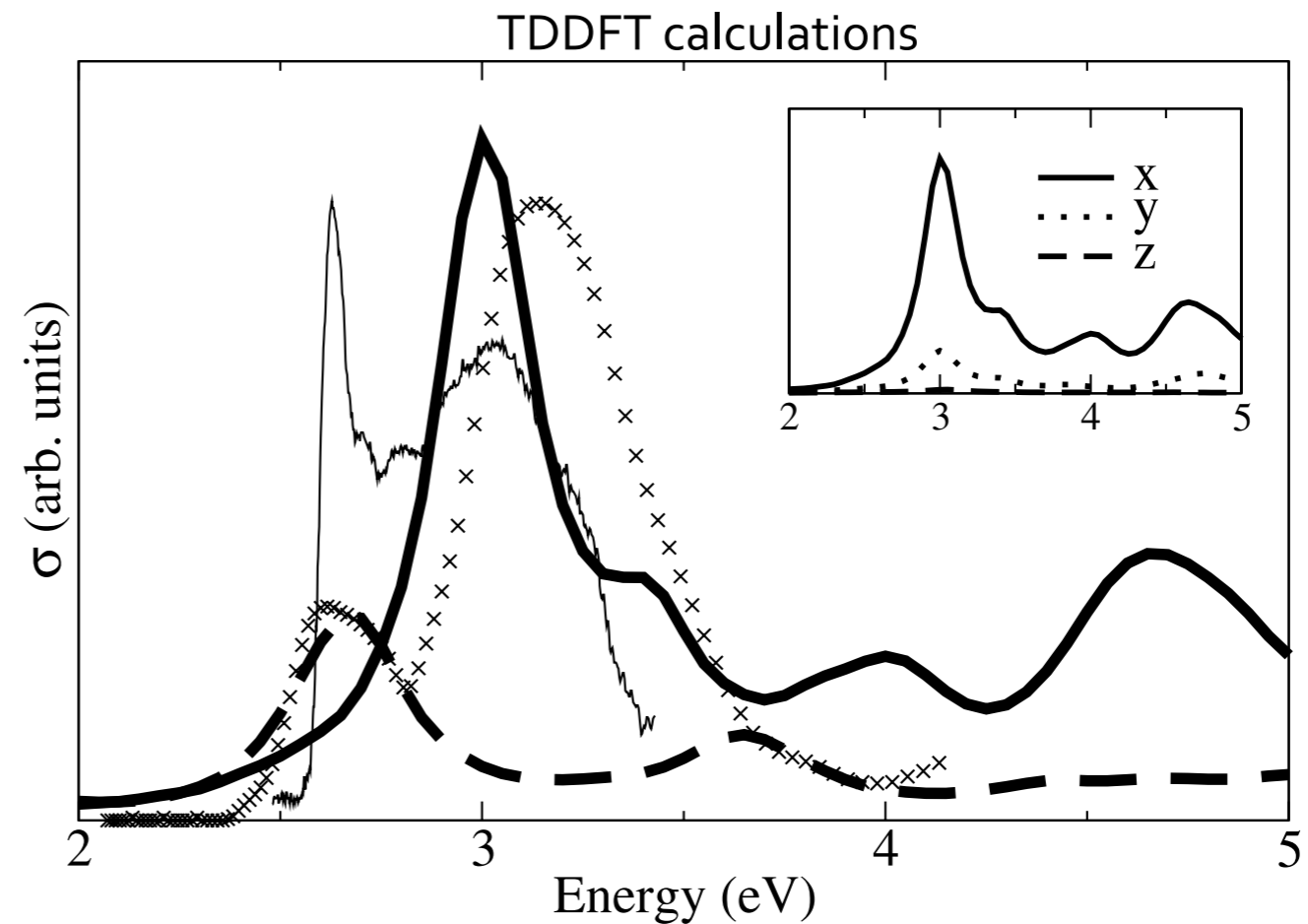
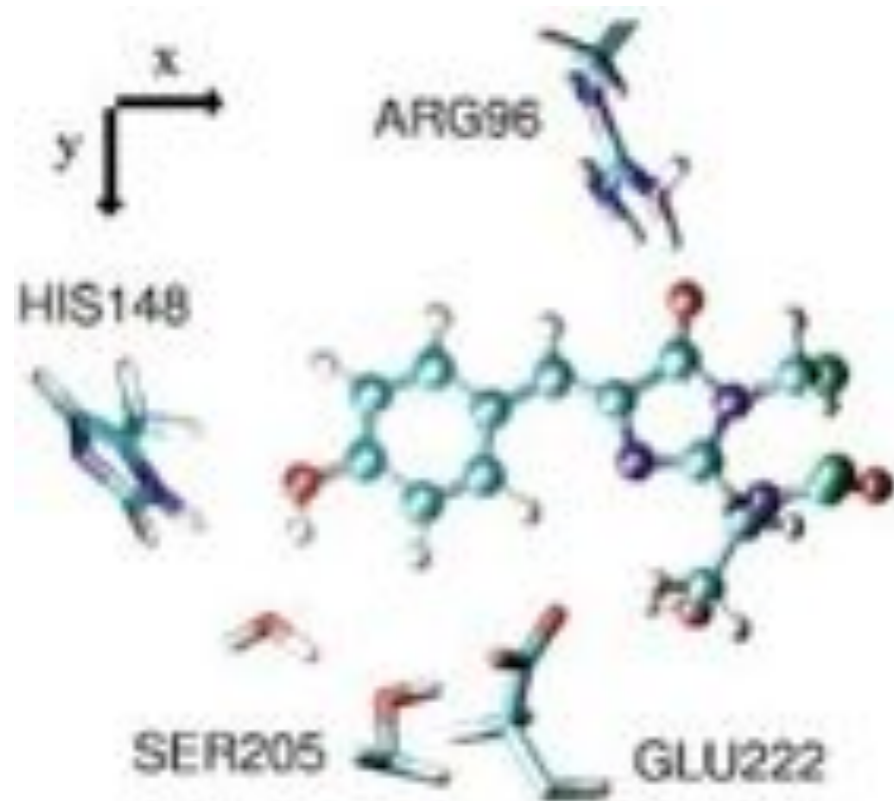
**Accurate but computationally demanding**



TDDFT and MBPT permits the study of excited state properties of matter.

## Computational ab-initio spectroscopy

### Interpretation and theoretical assistance to experiments



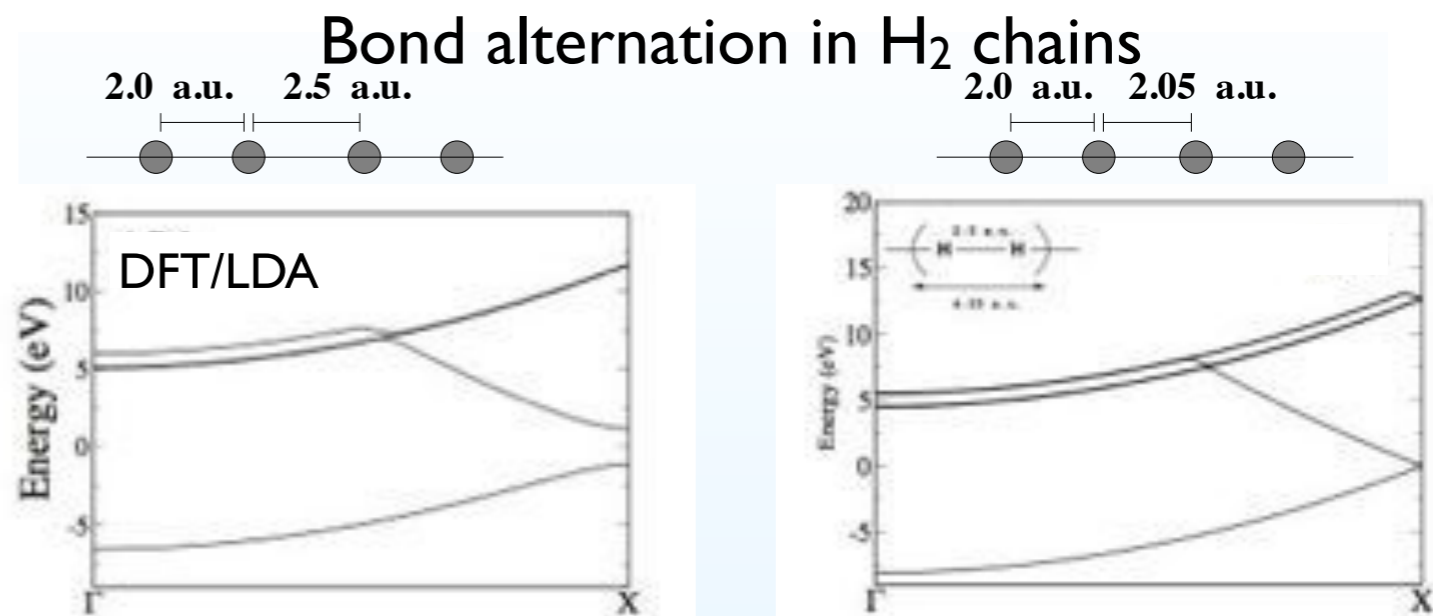
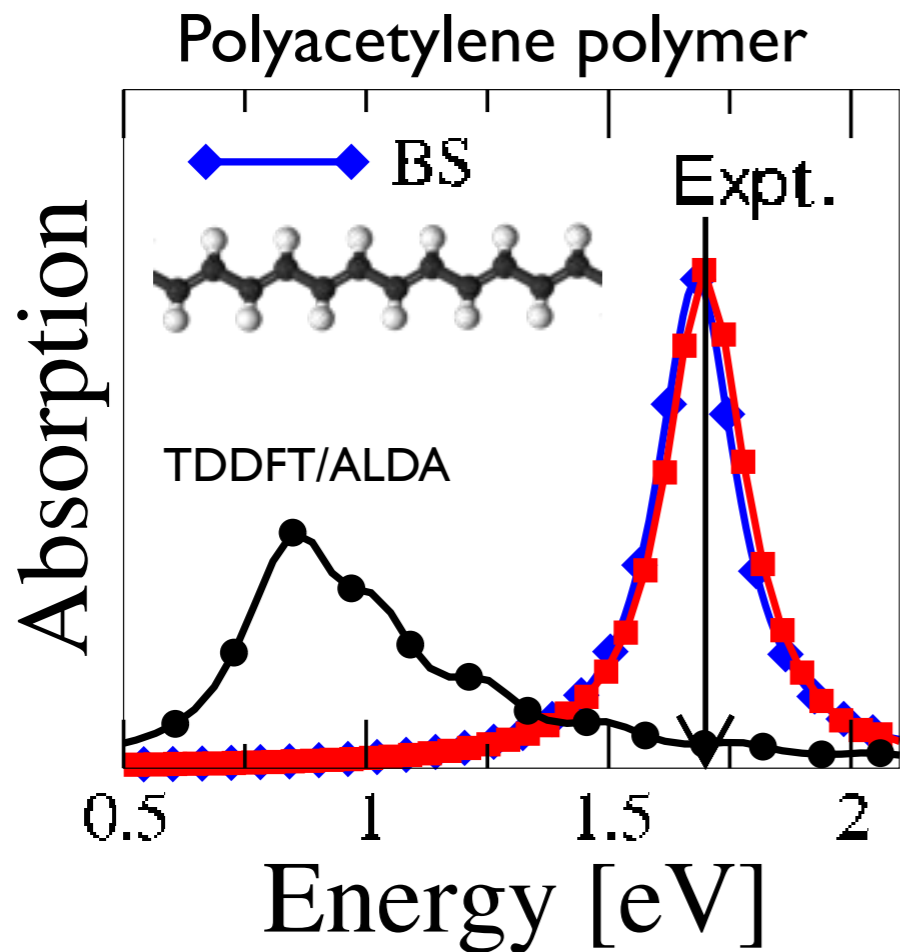
GFP Nobel Prize (2008)

Exp. by S. B. Nielsen *et al.*, Phys. Rev. Lett. **87**, 228102 (2001).

T. M. H. Creemers *et al.*, Proc. Natl. Acad. Sci. U.S.A. **97**, 2974 (2000)

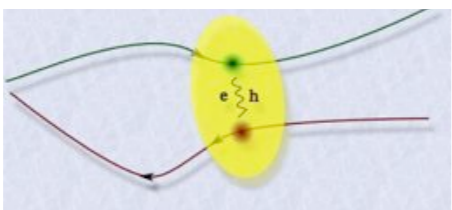
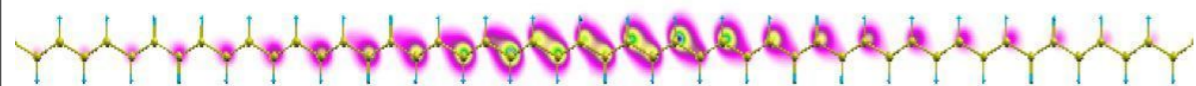
M. Marques, X. Lopez, D. Varsano, A. Castro and A. Rubio Phys. Rev. Lett. **90**, 258101 (2003)

# Exciton confinement in molecular chains

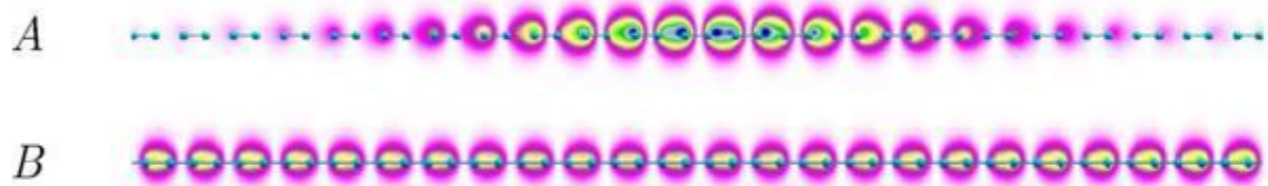
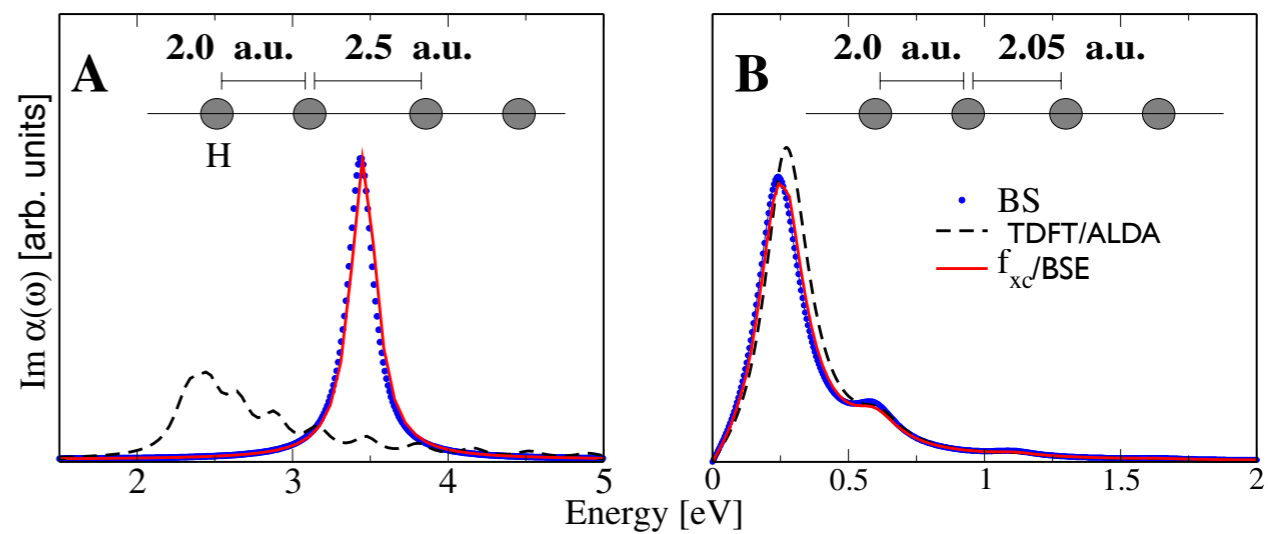


exciton localization from BSE solution

$$\Psi_S(\mathbf{r}_h, \mathbf{r}_e) = \sum_{v,c} A_s^{(vc)} \phi_v^*(\mathbf{r}_h) \phi_c(\mathbf{r}_e)$$



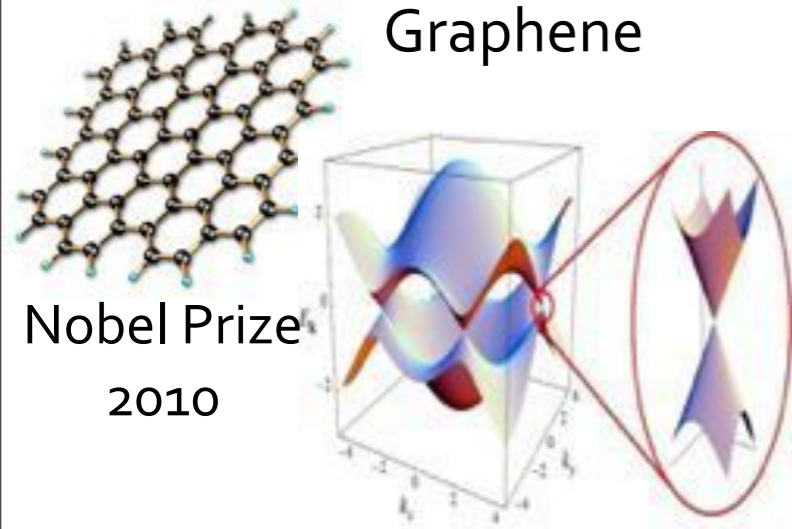
Role played by electron-hole attraction is not captured by TDDFT in simple approximations



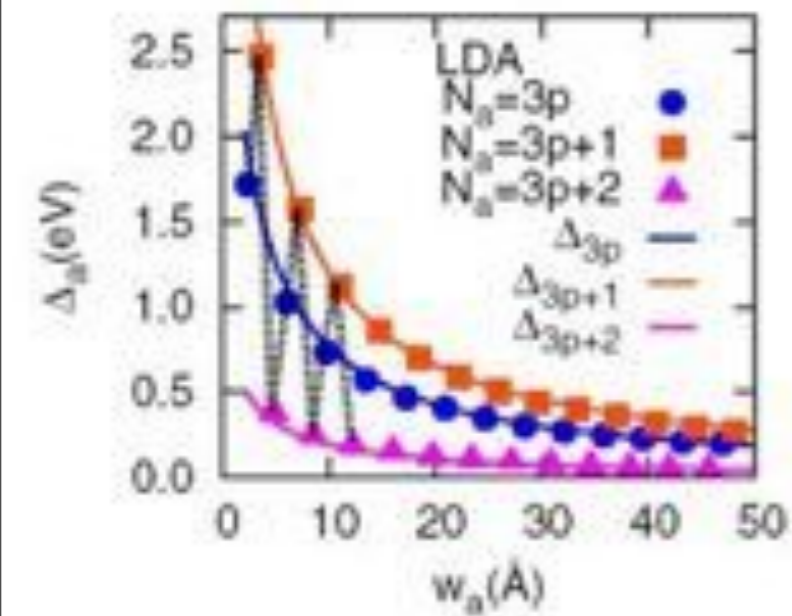
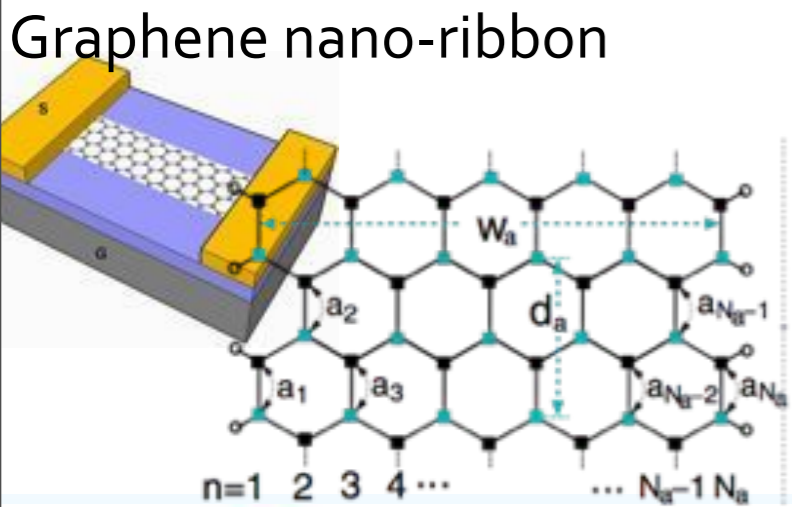
D. Varsano, A. Marini and A. Rubio Phys. Rev. Lett. 101, 133002 (2008)



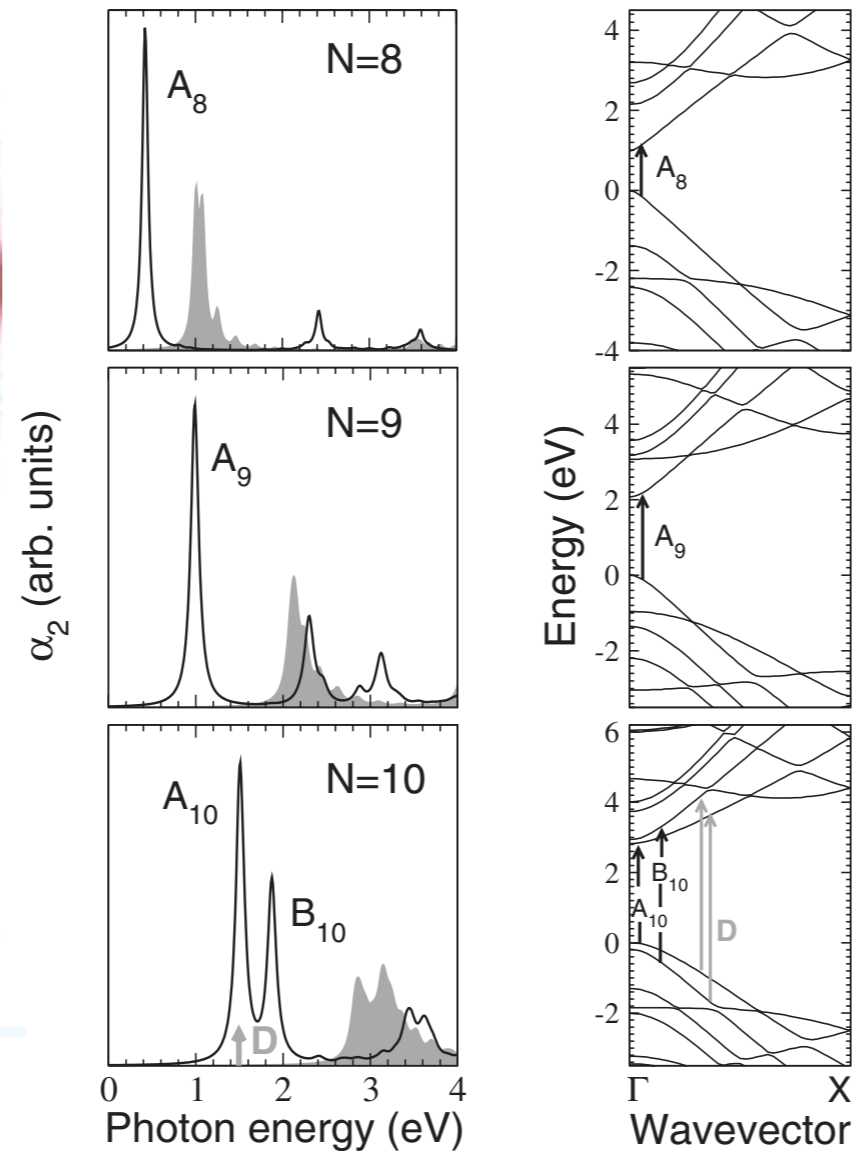
# Excitonic effects in graphene nanostructures (nanoribbons)



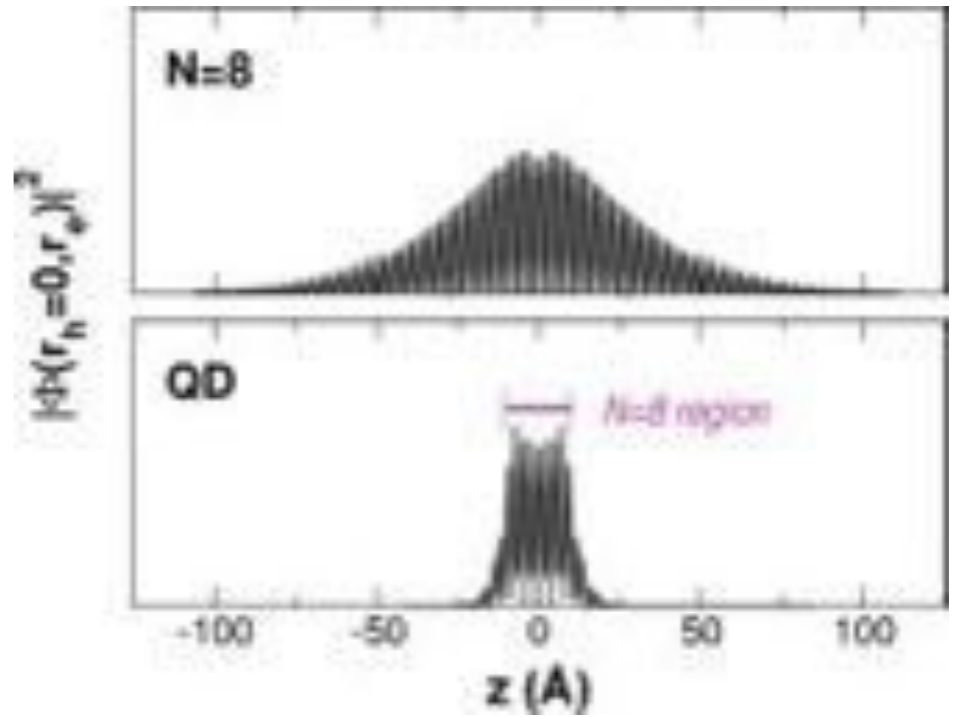
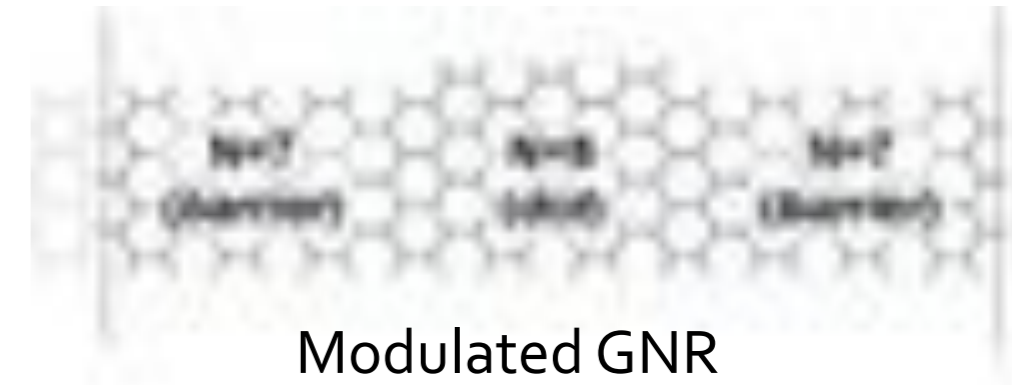
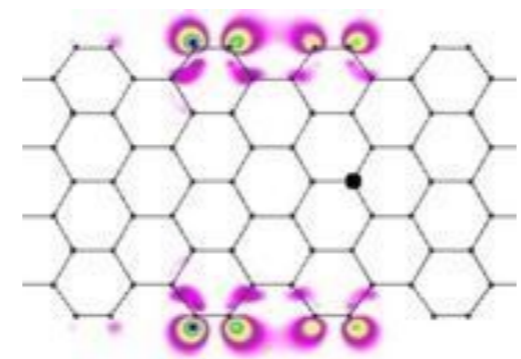
Nobel Prize  
2010



Son et al. PRL 97, 216803 (2006)



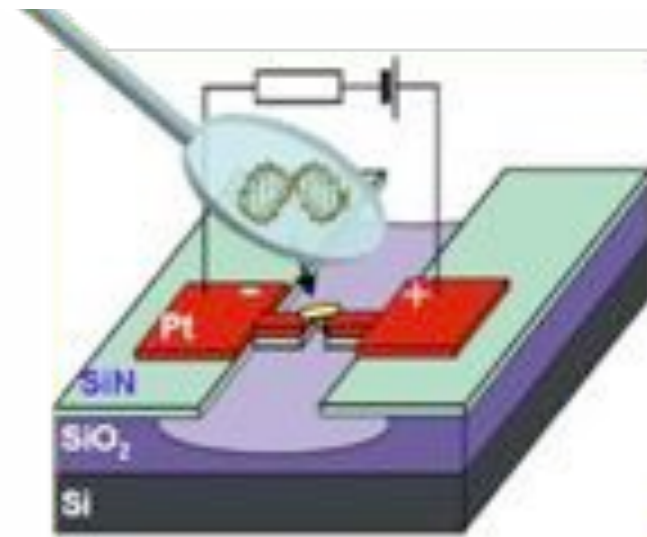
Binding energy depends on ribbon family: tunable optoelectronic features



addition or removal of single dimeric line permits confinement of electrons and holes

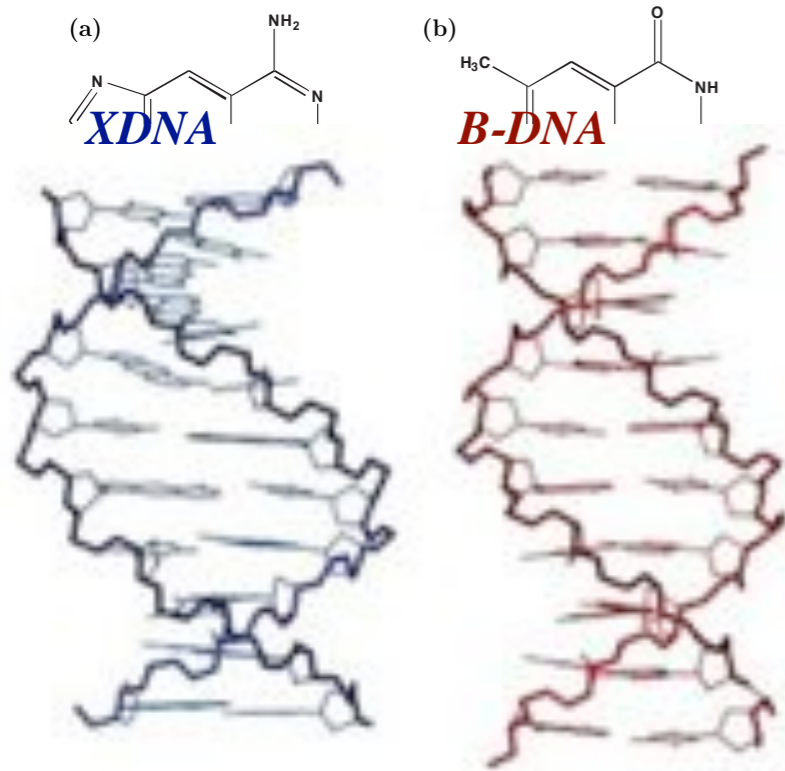
D. Prezzi, D. Varsano et al. Phys. Rev. B **77** 041404 (R) 2008  
 D. Prezzi, D. Varsano et al. Phys. Rev. B **84** 041401 (R) 2011

# DNA for biomolecular electronics



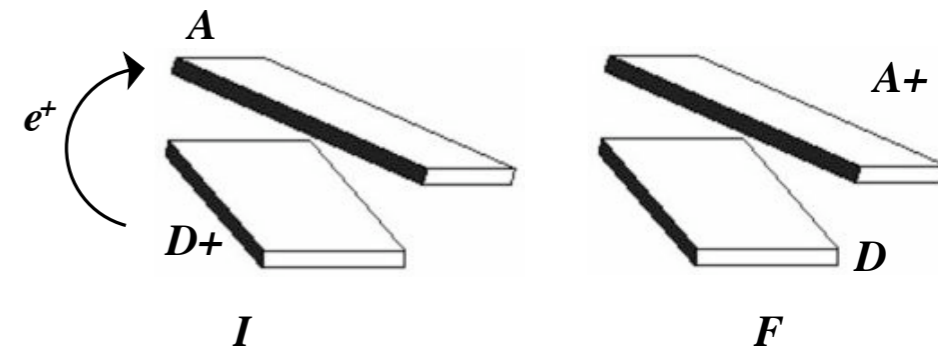
DNA molecule presents great properties of structuring and recognition but poor conductivity for long (>40nm) molecules deposited on substrates

- Improve measurement setup:
- Exploring DNA derivatives that could improve intrinsic conductivity:



$$k = \frac{2\pi}{\hbar} |V_{IF}|^2 e^{-\frac{(\lambda + \Delta G^0)^2}{4k_B T \lambda}}$$

## Hole transfer in DNA



xGC-xGC	GC-GC	xAT-xAT	AT-AT
0.205	0.075	0.054	0.008

Liu, S. Lynch, E. Kool, JACS 126, 6900 (2004)

- Larger diameter
- Reduced inter-stacking distance
- Enhanced inter-stacking interaction
- Hypochromism

## Net increase upon size expansion

Importance of structural fluctuations

D. Varsano, R. Di Felice, M. Marques, A. Rubio J. Phys Chem B, **110**, 7129 (2006)    A. Migliore, S. Corni, D. Varsano, M. Klein and R. Di Felice J. Phys. Chem. B **2009**, 113, 9402–9415  
 D. Varsano, A. Garbesi and R. Di Felice J. Phys Chem B **111**, 14012 (2007)

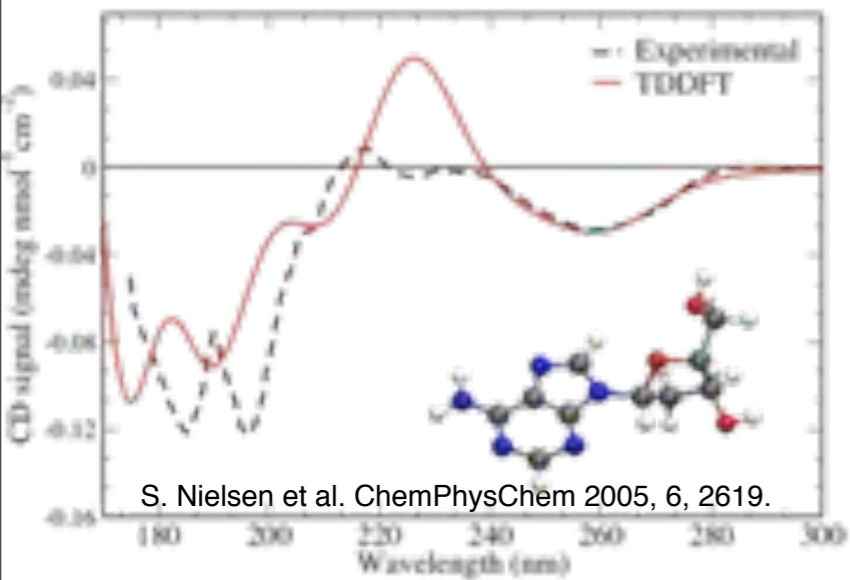


# Optical activity in DNA nucleotides and base pairs

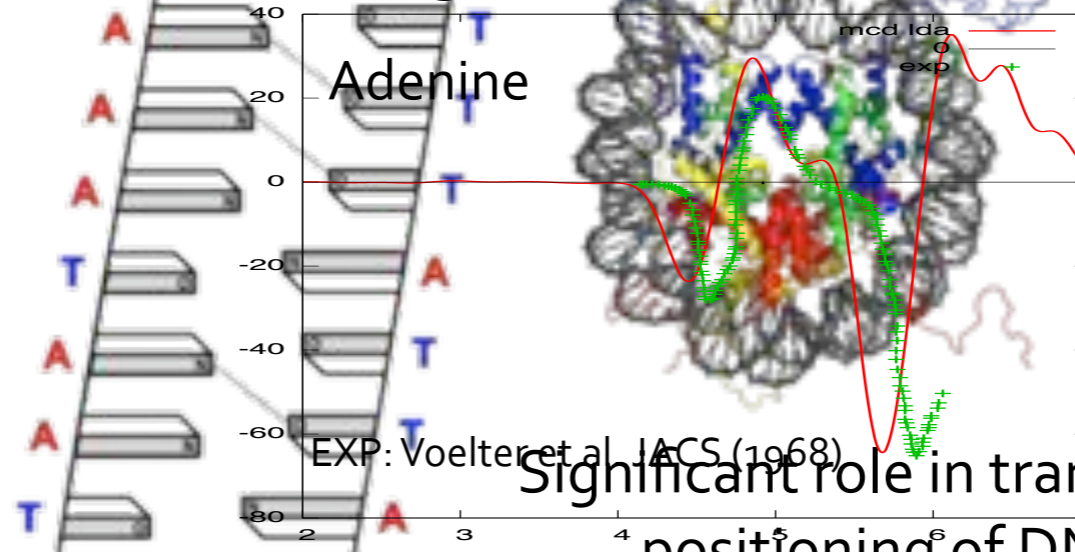
Development of a first principle scheme based on real time TDDFT for calculation of **circular dichroism**

D. Varsano et al. PCCP **11**, 4481 (2009)

## Natural CD in RT-TDDFT



## Magnetic CD in RT-TDDFT



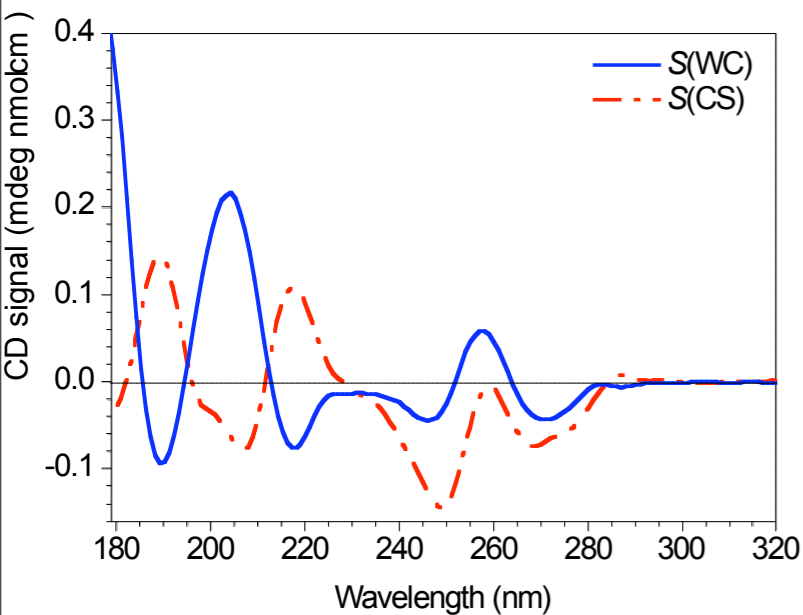
A-tracts have smaller bp/turns and axial rise than random DNA and alternating (AT:TA)<sub>n</sub>

Significant role in translational and rotational positioning of DNA in nucleosomes.

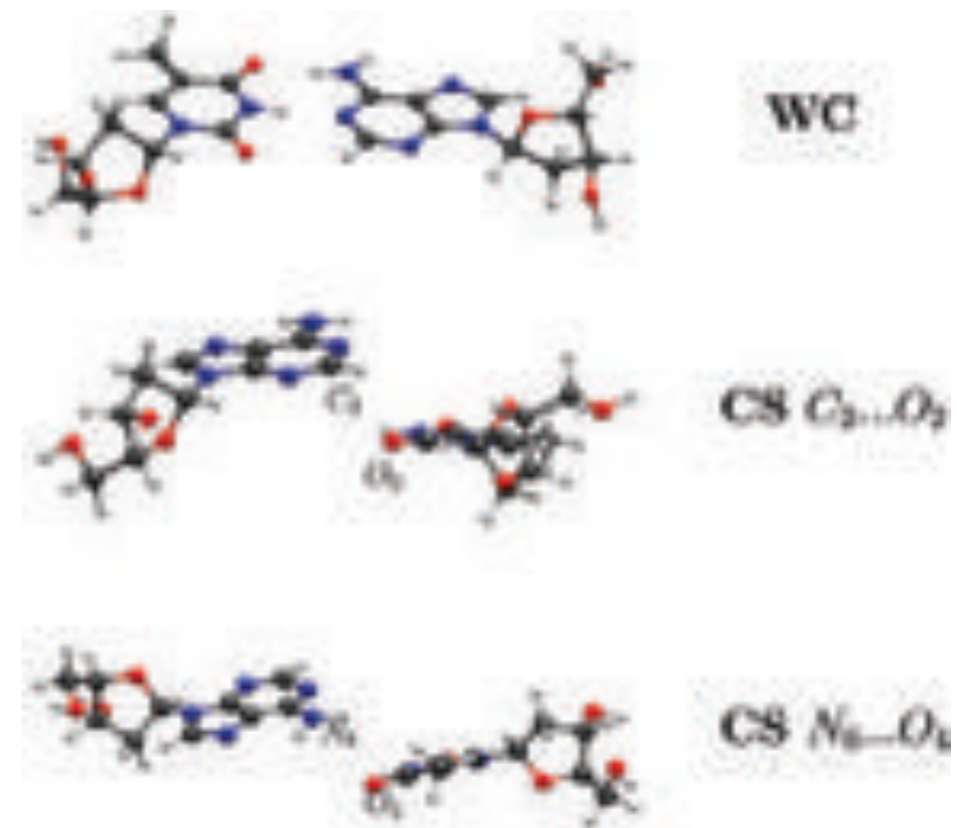
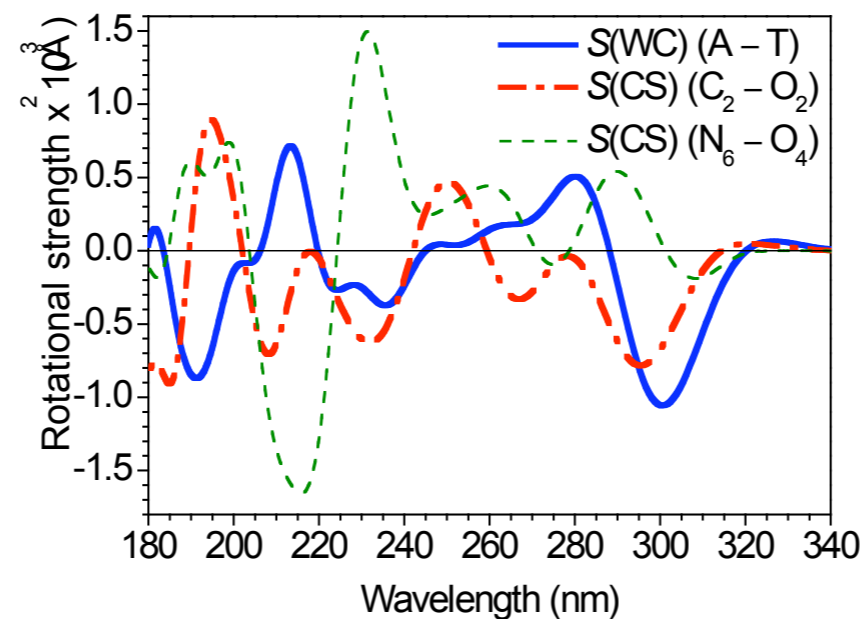
D. Varsano, L. Espinosa and A. Rubio, in preparation (2012)

E. Segal and J. Widom, Curr. Op. in Structural Biology **19**, 65 (2009)

## Synchrotron radiation circular dichroism experiments



## TDDFT calculations

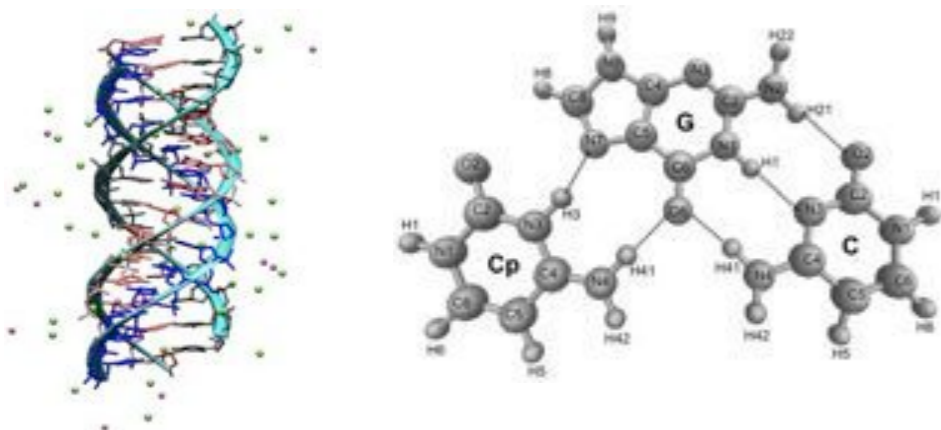


L. Nielsen, A. Holm, D. Varsano et al. , J. Phys. Chem. B **113**, 9614 (2009)



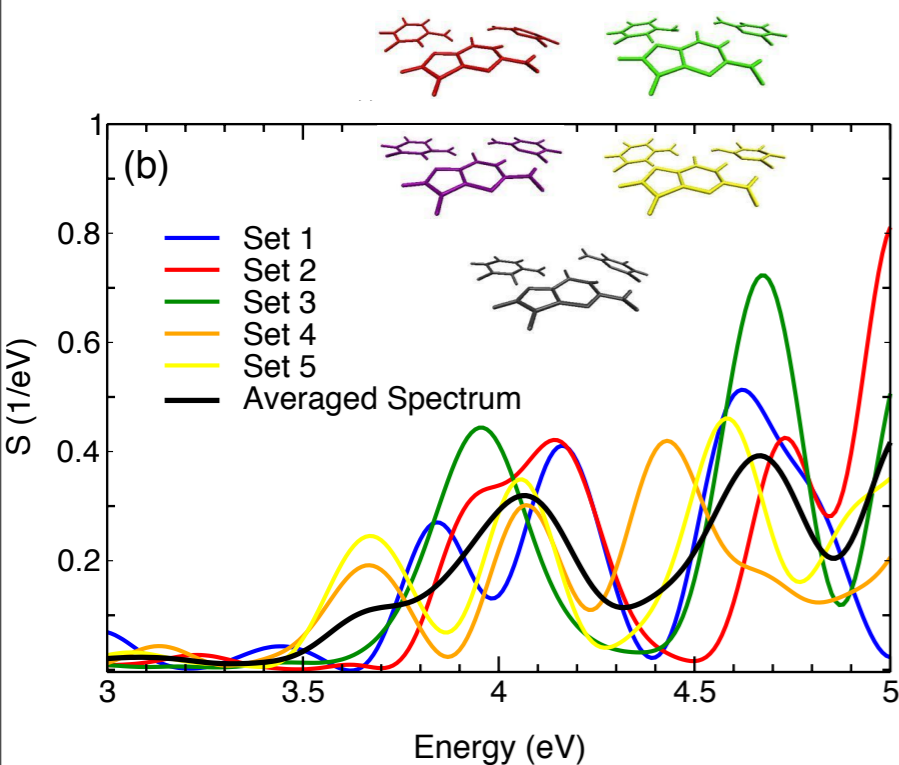
# Optical properties and structural effects on DNA derivatives

## DNA-Triplex:



agents for alter gene expression

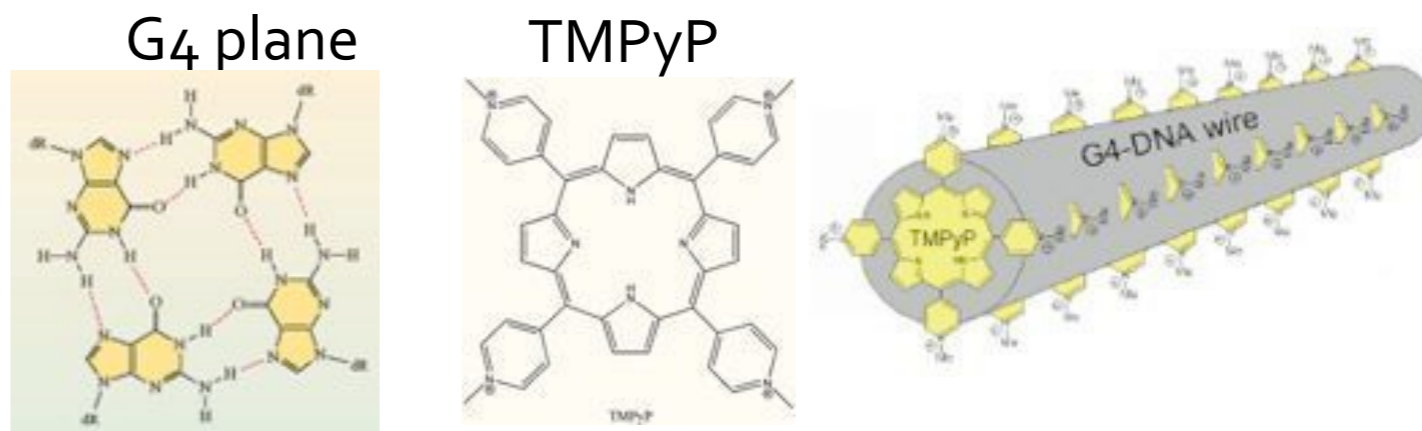
sequence specific tool for potential gene therapy



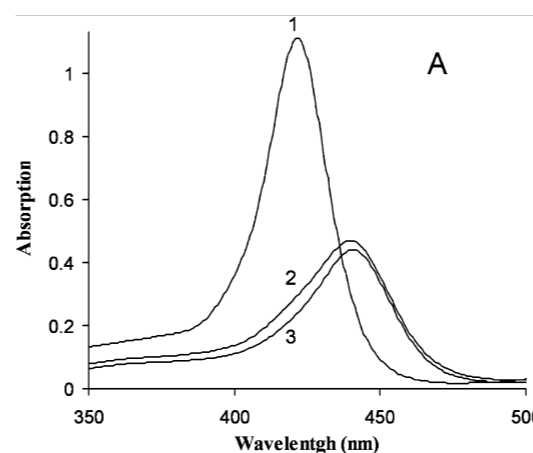
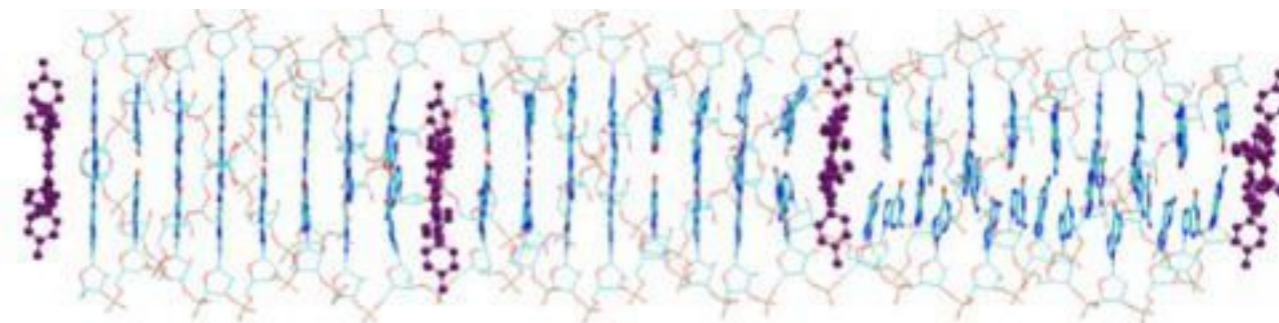
- Stability by classical MD
- Fingerprint of Hoogsteen H-bonding in optical spectra

T. Ghane, D. Varsano, and R. Di Felice submitted to JPCB (2012)

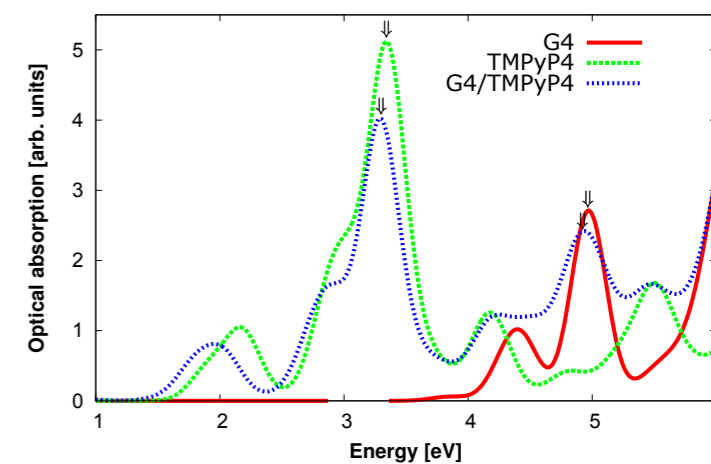
## G4/porphyrins intercalation



compound that stabilize G4 in DNA telomers can be potential candidates for anti-tumor drugs



l. Lubitz et al. Biochemistry, 46,12925 (2007)

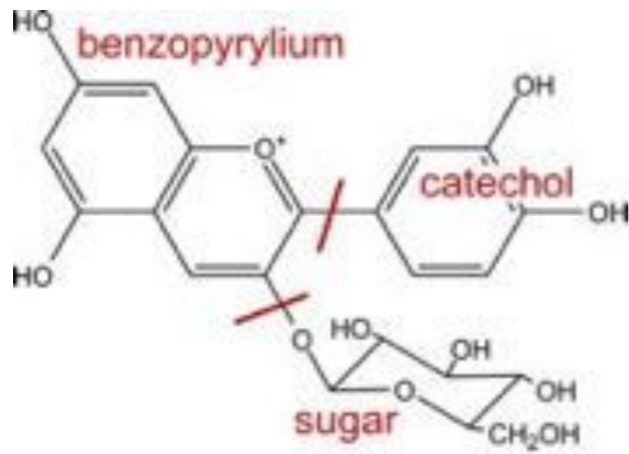


L.A. Espinosa-Leal, D. Varsano et al. to be submitted (2012)

# Optical properties of natural dyes

Cyanin: Natural dye in plants and fruits

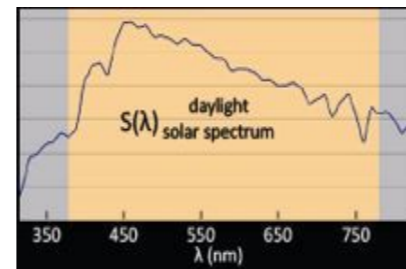
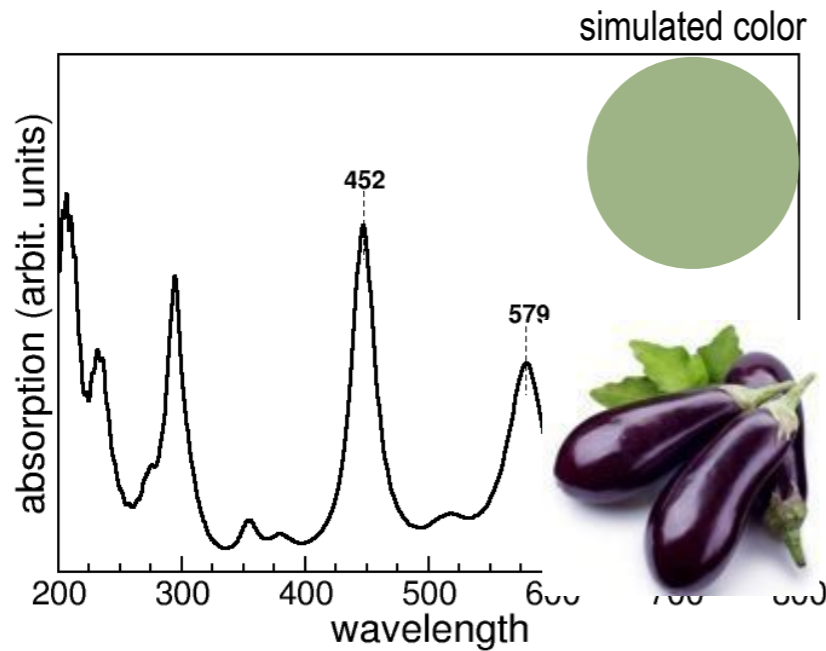
Food industry, pharmaceutical, solar cell applications



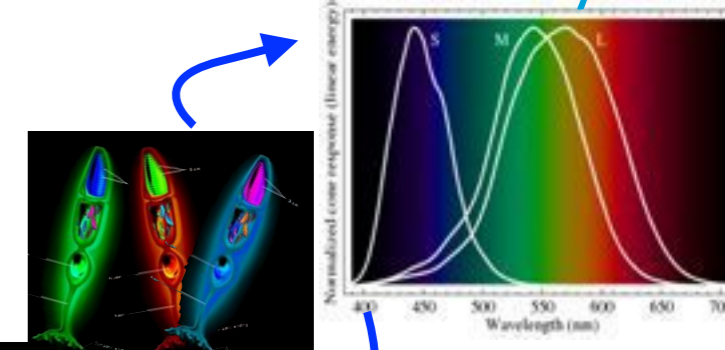
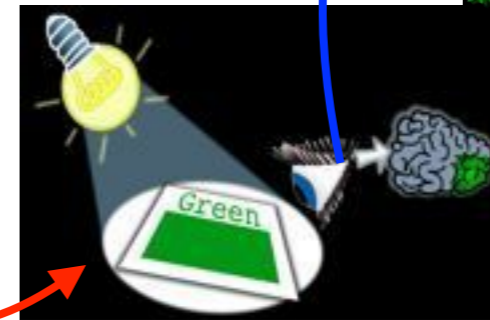
what makes things look the color they have

TDDFT (PBE) gas-phase spectrum

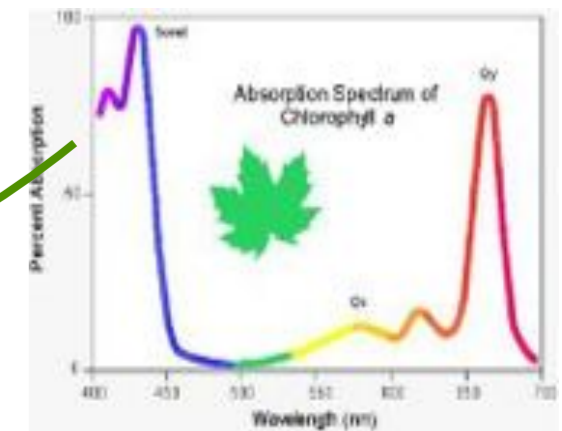
$$\text{stimulus} = \text{illuminant} \times \text{transmission} \times \text{sensivity}$$



incident radiation (*illuminant*)



$$T(x, \lambda) = S(\lambda)e^{-\alpha(\lambda)x}$$



Reasonable agreement of absorption peaks with experiments, but:  
*What about the color?*

color perceived by human eye

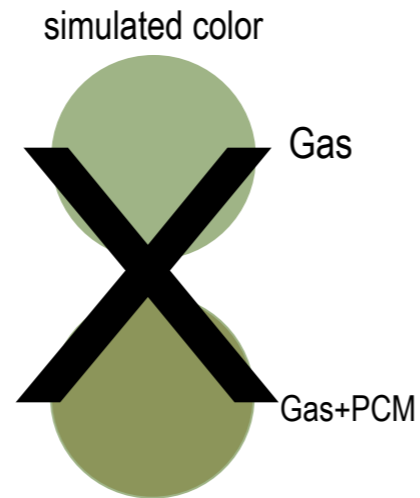
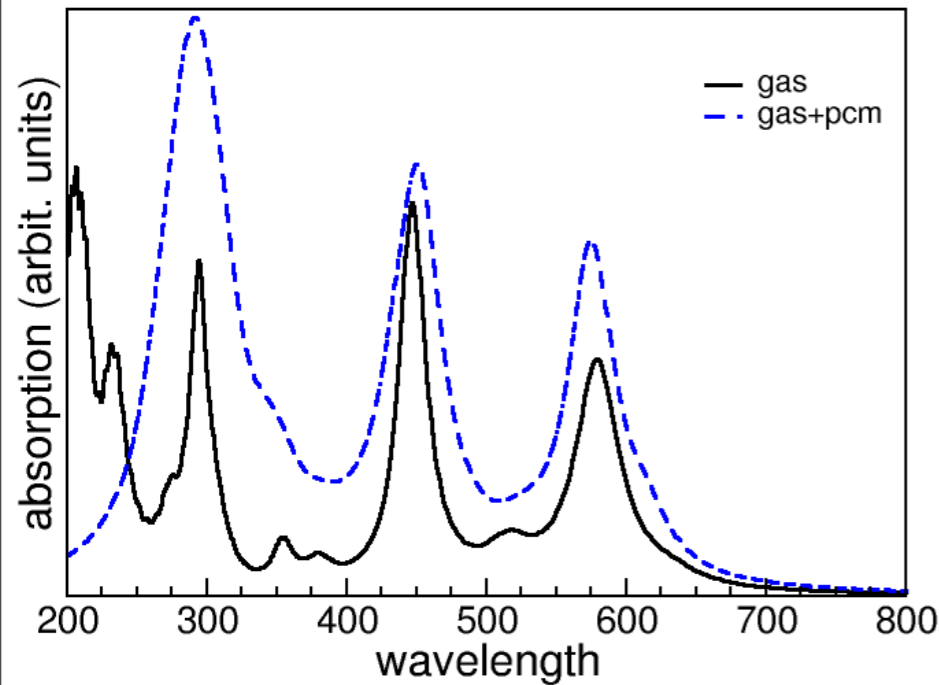
$$R\ G\ B(x) = \int S(\lambda)e^{-\alpha(\lambda)x} r\ g\ b(\lambda)d\lambda$$

A. Calzolari, D. Varsano et al.  
J. Phys.Chem A **113**, 8801 (2009)

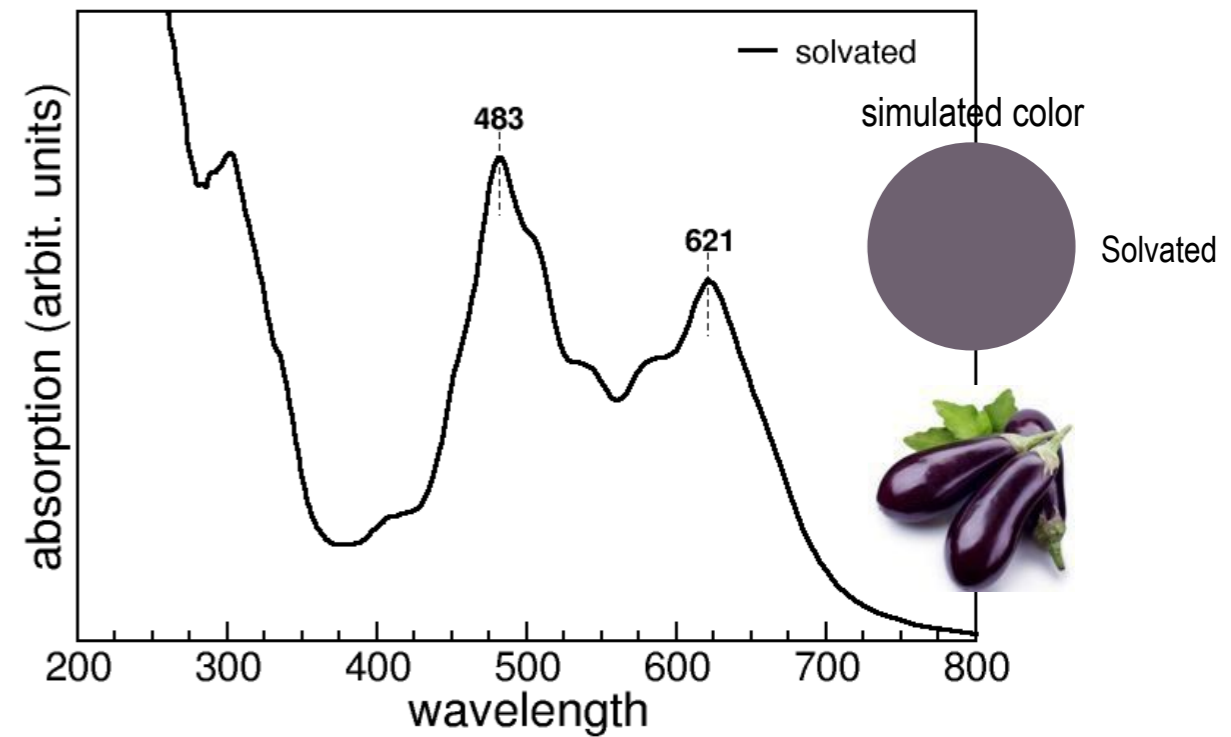
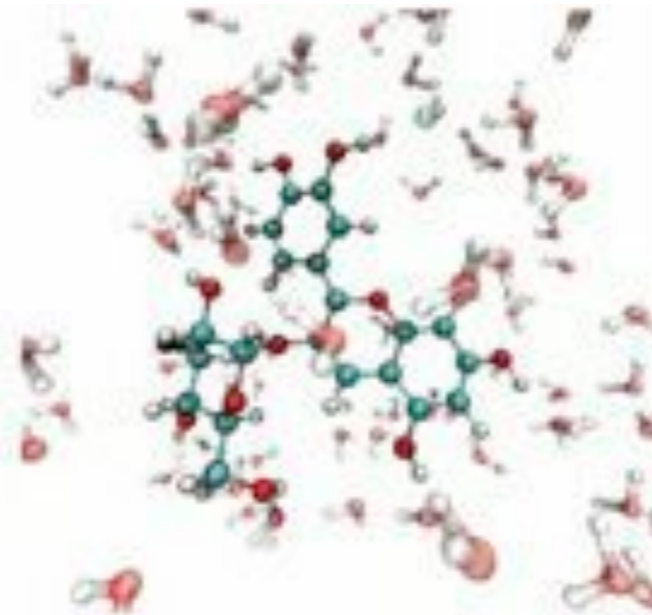
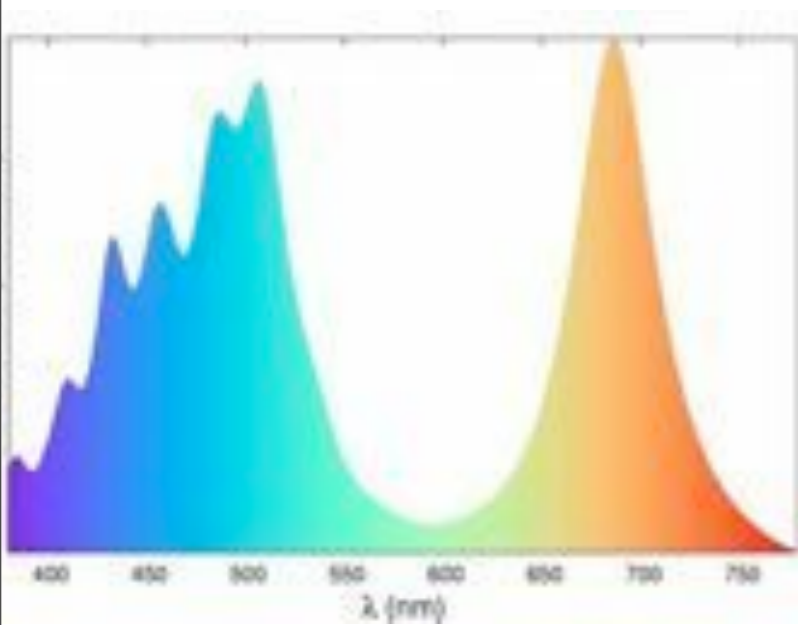
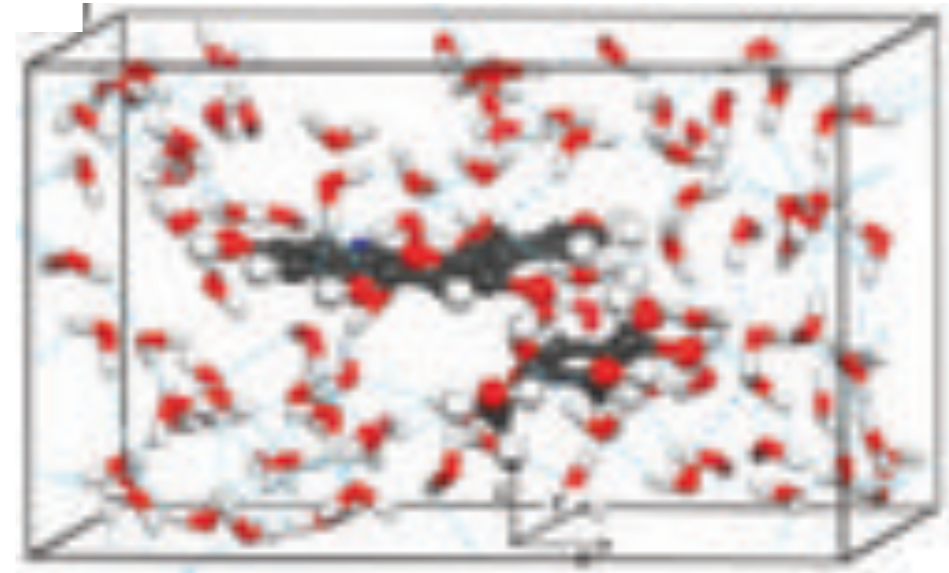
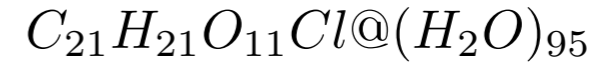


# Optical properties of natural dyes

solvation effect - implicit solvent (PCM)



Explicit solvent: CP molecular dynamics



Optical effect of the solvent and **intramolecular motion**

O.B. Malcioğlu, A. Calzolari, R. Gebauer, D. Varsano, and S. Baroni, JACS **133**, 15425 (2011)

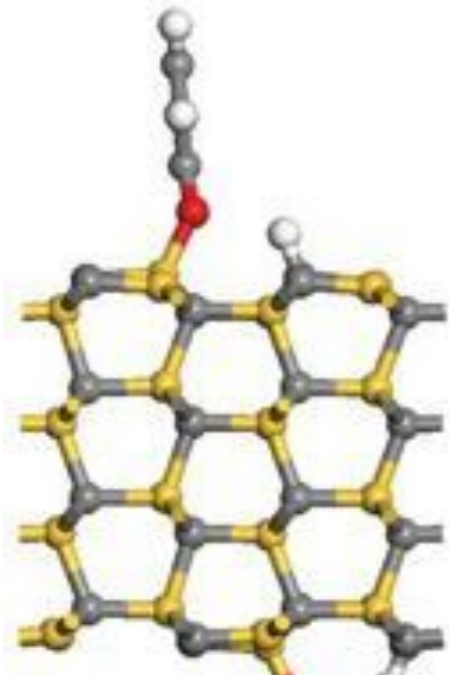


# Future Research

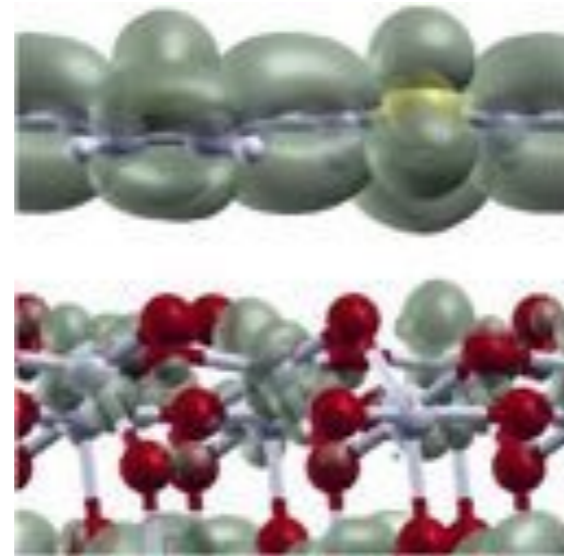
Understanding fundamental processes in new generation photovoltaic hybrid materials by first principle techniques

Multiscale approach to optical properties of biological chromophores

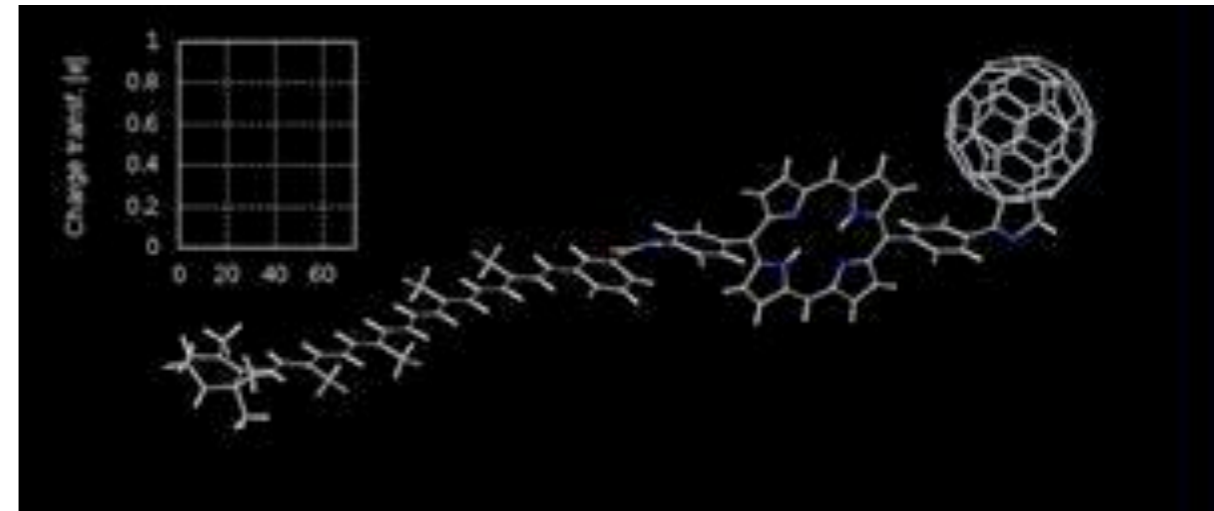
# Understanding fundamental processes in new generation photovoltaic hybrid materials by first principle techniques



Catechol@SiC



$\alpha$ -PT/TiO<sub>2</sub>



Molecular Triad

The principal mechanism affecting the efficiency of excitonic solar cell:  
**Photoabsorption** of visible light by the dye and the subsequent **charge transfer** of the photoelectron to the semiconductor.

## Electronic and excitonic properties by first principle calculations of the molecule (polymer)/semiconductor interfaces

- Nanoscale modeling of the dye(polymer)/semiconductor interfaces.
- Optical and electronic properties of the interfaces.

*Many Body Perturbation Theory can provide accurate quasiparticle energy levels, exciton binding energy, excitation character*

- Charge dynamic of the electron-hole excitation

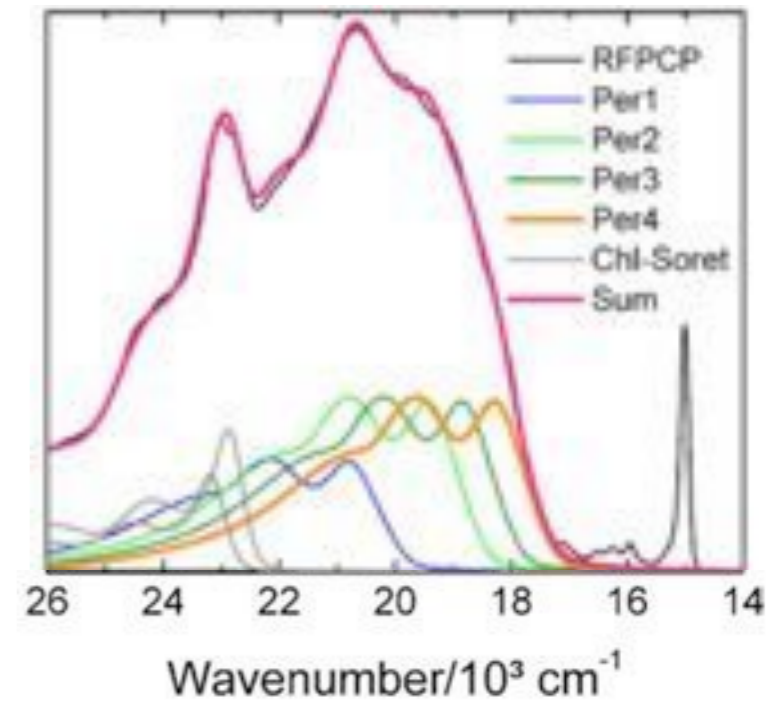
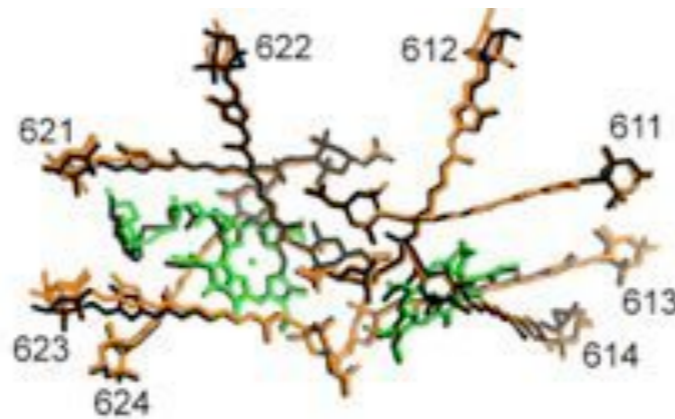
# Optical properties of biological chromophores in photoactive proteins

Specific interaction of the surrounding protein environment determines functionality and efficiency of the chromophores

The Peridinin-Chlorophyll-Protein (PCP) complex  
a light harvesting complex that feeds energy to PSII in dinoflagellates.



*Amphidinium*

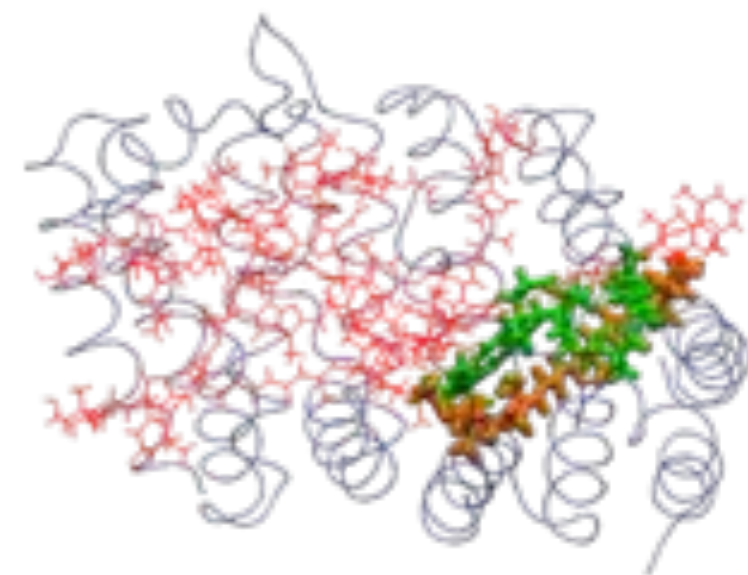


*T. Schulte et al. PNAS 106, 20764 (2009)*

**Exploration of the optical properties of biological chromophores in their natural environment, by high accuracy quantum mechanical first principle methods focusing on a reliable description of the environment**

- Mixed QM/MM methods: *Many Body Perturbation Theory for optics and QMC for structural optimization (in collaboration with L. Guidoni (L'Aquila))*
- Distinguish between geometry and external field effects: *e.g. the role of the bond length alternation in the excitation of polyene chains*
- Systems of interests: PCP, GFP, Rhodopsin:

*GFP chemical shift in TDDFT: M. Marques, X. Lopez, D. Varsano, A. Castro and A. Rubio Phys. Rev. Lett. 90, 258101 (2003)*





# Conclusion

- First-principle calculations: a powerful and predictive tool for nanoscale system characterization and interpretation and guidance of experiments
- My research combines different ab-initio methods for the study of electronic and optical properties with application in
  - ★ Biological systems
  - ★ Energy conversion materials
  - ★ Molecular electronics