

SOLID-STATE PHYSICS

<http://www2.phys.uniroma1.it/doc/mauri/ssp/>

1 Introduction

- 1.1 Measuring electronic bands in solids with Arpes: quasiparticles and signature of interaction as lifetime broadening and kinks in the dispersion. Examples of experiments on neutral and doped graphene
- 1.2 Predictive power of theory: mean-field band structure comparison with experiments

2 Mean field description of electrons in solids: Hartree-Fock

- 2.1 Band structure in presence of spin-orbit interaction: spinor and Kramer's doublets
- 2.2 Ground-state of N-independent electron systems. Slater determinants and one-body density matrix
- 2.3 Ground-state of N-interacting electron systems. Energy in terms of the one-body density matrix and the two-body probability density.
- 2.4 Hartree-Fock (HF). General derivation non-collinear
- 2.2 HF collinear: magnetic and non magnetic cases
- 2.3 Self-consistent HF Hamiltonian
- 2.3 Interacting 3D Jellium: experimental realization, HF total energy and band structure, ferromagnetic instability, correlation energy, pair correlation function, impact of correlation on Kinetic energy

3 Mean field description of electrons in solids: Density functional theory

- 3.1 Hohenberg-Kohn (HK) and Kohn and Sham (KS) theorems
- 3.1 Thomas-Fermi approximated functional
- 3.3 HK and KS functionals and KS Hamiltonian
- 3.4 Approximated exchange and correlation functionals (LDA GGA)

4 Derivatives of total energy: physical observables

- 4.1 Introduction to IR and optical spectroscopy (dielectric tensor in IR and visible range) and Raman spectroscopy
- 4.2 First order derivatives: pressure, forces, and polarization
- 4.3 Second-order derivatives: electronic dielectric tensor, vibrational (phonon) frequencies, elastic constants, IR vibrational activity (effective charges), and piezoelectric tensor
- 4.4 Third order derivatives: anharmonic vibrational broadening, Raman vibrational activity

5 Evaluation of derivatives of total energy: response theory for static perturbations with a mean field approach

- 5.1 Evaluation of first and second order derivatives within DFT: general expression from perturbation theory
- 5.2 Density-density response for a generic system (in real space and reciprocal space). Interacting and bare susceptibility in DFT and RPA.
- 5.3 Density-density response in Jellium. Lindhard functions in one two and three dimensions. Screened Coulomb potential in reciprocal and real space.
- 5.4 Response to a uniform static electric field in an insulator. Susceptibility, piezoelectric tensor and effective charges

6 Spontaneous electric polarization in solids as a Berry phase

- 6.1 Ferroelectric material: measurement of hysteresis cycles in the Polarization/electric field space. Spontaneous polarization (in zero electric field)
- 6.1 Polarization as average dipole per unit cell. Quantization of polarization for classical discrete charges and apparent paradox associated to the definition in a quantum mechanical context.
- 6.2 Definition of the Berry phase in the discrete and continuous case
- 6.3 Example of Berry phase: Aharonov-Bohm effect, molecular Aharonov-Bohm effect
- 6.4 Wannier functions. Definition and properties
- 6.5 Centroids of Wannier functions as a Berry phase in Bloch space
- 6.6 Demonstration that the spontaneous polarization is given by the centroids of Wannier functions

7 Exercise sessions (integral part of the program)

- 7.1 Graphene π -electron band structure and ARPES

7.2 Density matrix and pair correlation function in Jellium

7.3 Dissociation of the H₂ molecule with a 2-site Hubbard model: exact solution and approximated restricted and unrestricted HF solutions.

7.4 Calculation of the macroscopic susceptibility as long-wave limit of a sinusoidal modulated perturbation

7.5 Spontaneous polarization, Berry phase and Wannier functions of a linear 2-atom chain

Prerequisites: *Solid State Physics*, Ashcroft and Mermin, Chapter 8 and 22 (with exercises), Appendix D, E, F

For further reading:

Fundamentals of Condensed Matter Physics, Cohen and Louie, Cambridge University Press, Chapters 5, 6, 7, 8, 10

Solid State Physics (Second Edition), Grosso and Pastori-Parravicini, Accademic Press, Chapters 4 and 8

Quantum Theory of the electron liquid, Giuliani and Vignale, Cambridge University Press, Chapters 1, 2, 3, 4 (selected parts).